# CRYSTALLOGRAPHY AND INTERFACE BOUNDARY <br> STRUCTURE OF PEARLITE WITH $\mathrm{M}_{7} \mathrm{C}_{3}$ CARBIDE LAMELLAE 

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(Received 9 November 1998; received in revised form 22 December 1998; accepted 3 January 1999)


#### Abstract

The crystallography of pearlite with $\mathrm{M}_{7} \mathrm{C}_{3}$ carbide lamellae and the atomic structure at the ferrite/carbide interface have been examined by means of transmission electron microscopy in an $\mathrm{Fe}-8.2 \mathrm{Cr}-$ 0.96 C alloy. Two orientation relationships with the corresponding habit planes were determined: OR-I: $(\overline{2} \overline{5} 1)_{\mathrm{b}} / /(11 \overline{\overline{2}} 0)_{\text {hex }}$-habit plane, $(\overline{1} 13)_{\mathrm{b}} / /(\overline{1} 100)_{\text {hex }}, \quad(\overline{3} 1 \overline{1})_{\mathrm{b}} \approx / /(0001)_{\text {hex }} ;$ OR-II: $(\overline{2} \overline{5} 1)_{\mathrm{b}} / /(1 \overline{1} 00)_{\text {hex }}$-habit plane, $(113)_{\mathrm{b}} / /(1120)_{\text {hex }},(311)_{\mathrm{b}} \approx / /(0001)_{\text {hex }}$. Variants of these orientation relationships have been frequently observed. Microscopic steps at the ferrite/carbide interfaces accommodate the curvature of the habit planes. Each of these orientation relationships provides a small misorientation between the $\{110\}_{\mathrm{b}}$ close packed planes of ferrite and the coincident planes of $\mathrm{M}_{7} \mathrm{C}_{3}$ carbide, and the atomic planes are perfectly matched through the interface. The orientation relationship between the parent austenite and $\mathrm{M}_{7} \mathrm{C}_{3}$ carbide was also deduced assuming the ferrite/austenite orientation relationship so far obtained. © 1999 Acta Metallurgica Inc. Published by Elsevier Science Ltd. All rights reserved.


## 1. INTRODUCTION

By definition, pearlite is a lamellar eutectoid structure consisting of ferrite and carbide, which can form from the parent austenite by solid-state reaction under either isothermal or continuous cooling heat treatment. The theory of pearlite reaction has been studied quite intensively because of the importance of pearlite in view of fundamental principles of phase transformations and also in the case of hardenability. Thus, an extensive literature is available concerned with the different aspects of pearlite reactions such as mechanism of pearlite growth [1-4], kinetics [5,6], effect of alloying elements $[7,8]$, crystallography [9-11] and interlamellar spacing [12]. The classical theory of pearlite growth based on the results by Hull and Mehl [13], Zener [14] and Mehl and Hagel [15] has been generalized by Hillert [16]. Over the last decade attempts have been made to revise some basic principles of the classical views of pearlite reaction on the basis of new experimental results. Hackney and Shiflet [10] proposed the ledge mechanism of pearlite growth in ferrous alloys that was later confirmed by Whiting and Tsakiropoulos [3] for the

[^0]$\mathrm{Cu}-\mathrm{Al}$ lamellar eutectoid. The last results revealed the even greater role of crystallography than those previously accepted although the subject has been recently debated and different points of view were expressed $[17,18]$. It should also be noted that Khalid and Edmonds [19] have shown that coarsely spaced linear defects similar to those previously reported by Hackney and Shiflet $[1,10]$ were not intrinsic growth ledges on these interfaces, but extrinsic defects resulting from intersection of the growing interface with planar stacking faults present in the parent austenite.

Because of the effect of crystallography during the development of pearlite, a unique atomic habit plane is maintained that gives a good correlation between three reported orientation relationships (OR) and their related atomic habit planes:

Bagaryatskii OR [20]
$(001)_{\text {orth }} / /(11 \overline{2})_{\mathrm{b}}$ habit plane [9]
Isaichev OR [21]
(101) orth $/ /(11 \overline{2})_{b}$ habit plane [22]

Pitsch-Petch OR [23, 24]
$(001)_{\text {orth }} / /(\overline{2} \overline{1} 5)_{\mathrm{b}}$ habit plane [24].

In contrast, four new orientation relationships between pearlitic ferrite and cementite have recently been reported by Zhang and Kelly [11]. They proposed that two widely accepted orientation re-
lations, namely the Pitsch-Petch OR and the Bagaryatskii OR probably do not exist.

Whereas the crystallography between pearlitic ferrite and cementite has been studied rather intensively, very little attention has been given to the crystallography between ferrite and alloy carbides. It is well defined that in pearlite of alloyed steel the cementite can be replaced by other alloy carbides, such as $\mathrm{M}_{23} \mathrm{C}_{6}$ [25] or $\mathrm{M}_{7} \mathrm{C}_{3}$ [26]. The crystallography of the austenite-pearlite transformation in $\mathrm{Fe}-$ $\mathrm{Cr}-\mathrm{C}$ alloys has been studied by Howell et al. [25]. In all cases the $\mathrm{M}_{23} \mathrm{C}_{6}$ carbide lamellae were related to the adjacent ferrite by the Kurdjumov-Sachs orientation relationship [27].

To the knowledge of the present authors, the crystallography of the pearlite with $\mathrm{M}_{7} \mathrm{C}_{3}$ carbide lamellae has not been studied in detail. Thus the present study was undertaken in order to reveal the habit plane and orientation relationship between pearlitic ferrite and $\mathrm{M}_{7} \mathrm{C}_{3}$ carbide in an $\mathrm{Fe}-8.2 \mathrm{Cr}-$ 0.96 C alloy.

## 2. EXPERIMENTAL PROCEDURE

### 2.1. Sample preparation

The chemical composition of the alloys used in the present investigation is shown in Table 1. Specimens of 20 mm in diameter and 10 mm long were austenitized at $1250^{\circ} \mathrm{C}$ for 15 min in a dynamic argon atmosphere. They were transformed isothermally in a lead bath covered with a layer of active charcoal to prevent decarburization at $725^{\circ} \mathrm{C}$ for 720 s , then quenched into iced brine. These heat treatments resulted in the lamellar pearlite containing $\mathrm{M}_{7} \mathrm{C}_{3}$ carbide lamellae with an average interlamellar spacing of about $0.1 \mu \mathrm{~m}$.

The specimens for the microstructural investigations were sliced from the centre of each bulk specimen. Thin foils for TEM studies were prepared from 3 mm discs, ground to a thickness of about 0.05 mm and electropolished by a conventional twin-jet polishing method using electrolyte containing $10 \%$ perchloric acid, $20 \%$ glycerol and $70 \%$ ethanol. The foils were examined in a JEM-3010 transmission electron microscope operating at 300 kV .

### 2.2. Method for determining the habit plane

The accurate determination of the habit plane was fulfilled through large-angle tilting in the transmission electron microscope. This method is described in detail in Ref. [9]. Tilting toward a

Table 1. Chemical composition of the alloy (mass \%)

| C | Si | Mn | P | S | Cr | N | O | Al |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0.96 | 0.02 | 0.001 | 0.001 | 0.001 | 8.24 | 0.0011 | 0.003 | 0.003 |

point where the boundary image is the sharpest results in the case when the electron beam is parallel to the habit plane. Once found the habit plane was further checked by tilting around an axis which was found to be normal to the habit plane. The zone axis is changed but the interface remains perpendicular to the tilting axis. Since there are many reflections on the line normal to the direction of a habit plane trace in the stereographic projection, one of them close to the reflecting zone can be accepted as a true habit plane normal. Thus such a procedure will exclude the erroneous interface normal.

### 2.3. Structure of the $M_{7} C_{3}$ carbide

Selected area electron diffraction (SAED) patterns from the $\mathrm{M}_{7} \mathrm{C}_{3}$ carbide are generally complex because of the pseudo-hexagonal structure containing 56 iron atoms and 24 carbon atoms in a unit cell with lattice parameters $a=1.3982 \mathrm{~nm}$ and $c=0.4506 \mathrm{~nm}$ [28]. It has frequently been observed that the corresponding SAED patterns from the $\mathrm{M}_{7} \mathrm{C}_{3}$ carbide contain long streaks through the reciprocal lattice space perpendicular to $\{10 \overline{1} 0\}$ planes [29,30]. These streaks result from a faulted structure with the fault vector being one half of the unit cell repeat distance [31]. The observed distribution of the diffuse intensity along the $\langle 1120\rangle$ directions in the $\mathrm{Fe}_{7} \mathrm{C}_{3}$ carbide has been attributed to a structure composed of ordered microdomains separated by non-periodic $\{1120\}$ defects [32]. In the present study, since the streaks were frequently observed along $\langle 11 \overline{2} 0\rangle$ and $\langle 1 \overline{1} 00\rangle$ directions, this phenomenon is thought to arise from the presence of defects in planes perpendicular to the basal plane. The structure of the $\mathrm{M}_{7} \mathrm{C}_{3}$ phase can also be interpreted as the orthorhombic crystal structure with $\quad a=0.4532 \mathrm{~nm}, \quad b=0.7015 \mathrm{~nm} \quad$ and $c=1.2153 \mathrm{~nm}$ as reported by Fruchart and Rouault [33] and was investigated in detail by Morniroli et al. [32].

## 3. EXPERIMENTAL RESULTS

A typical pearlite area with $\mathrm{M}_{7} \mathrm{C}_{3}$ carbide lamellae is shown in Fig. 1(a). The SAED pattern taken from the ferrite/carbide area [Fig. 1(c)] allows their crystallography to be determined. The stereographic analysis for this diffraction pattern is shown in Fig. 1(e). The incident beam direction is $[\overline{1} 13]_{\mathrm{b}} / /[\overline{1} 100]_{\text {hex }}$. (Hereafter, the subscripts b, f, hex and orth denote the b.c.c. structure of ferrite, the f.c.c. structure of austenite and the hexagonal or orthorhombic structure of the $\mathrm{M}_{7} \mathrm{C}_{3}$ phase, respectively.) Thus, the following orientation relationship between ferrite and $\mathrm{M}_{7} \mathrm{C}_{3}$ carbide was deduced:

$$
\begin{aligned}
& {[\overline{1} 13]_{\mathrm{b}} / /[\overline{1} 100]_{\text {hex }}} \\
& (25 \overline{1})_{\mathrm{b}} / /(11 \overline{2} 0)_{\text {hex }}
\end{aligned}
$$



Fig. 1. TEM micrographs and corresponding SAED patterns from the same pearlite area tilted around $[25 \overline{1}]_{\mathrm{b}} / /[11 \overline{2} 0]_{\text {hex }}$ at an angle of $17.9^{\circ}$ : (a) and (c) $Z=[\overline{1} 13]_{\mathrm{b}} / /[\overline{1} 100]_{\text {hex }}$; (b) and (d) $Z=[\overline{3} 24]_{\mathrm{b}}$; (e) the $[\overline{1} 13]_{\mathrm{b}} / /[\overline{1} 100]_{\text {hex }}$ stereographic projection showing the habit plane and the OR-I between ferrite and $\mathrm{M}_{7} \mathrm{C}_{3}$ carbide.

$$
(\overline{3} 1 \overline{1})_{\mathrm{b}} \approx / /(000 \overline{1})_{\mathrm{hex}}
$$

The angle between $[25 \overline{1}]_{\mathrm{b}}$ and $[11 \overline{2} 0]_{\text {hex }}$ was determined to be about $1.5^{\circ}$ whereas the $[\overline{3} 1 \overline{1}]_{\mathrm{b}}$ is not strictly parallel to the $[000 \overline{1}]_{\text {hex }}$. Thus the above expression for this orientation relationship is just an
ideal approximation. This is termed the OR-I. The habit plane determined is the $(25 \overline{1})_{\mathrm{b}} / /(11 \overline{2} 0)_{\text {hex }}$. However, with an orientation such as in Fig. 1(c), it is difficult to assert that the habit plane is not $(01 \overline{1} 0)_{\text {hex }}$ but $(11 \overline{2} 0)_{\text {hex }}$.


Fig. 2. HRTEM image of the edge of the $\mathrm{M}_{7} \mathrm{C}_{3}$ carbide lamella in the case of the OR-I. The incident beam direction is $[\overline{1} 13]_{\mathrm{b}} / /[\overline{1} 100]_{\text {hex }}$. The habit plane is $(25 \overline{1})_{\mathrm{b}} / /(11 \overline{2} 0)_{\text {hex }}$.

Figures 1(b) and (d) are, respectively, a bright field image of pearlite and the corresponding SAED pattern taken after tilting around the axis $[25 \overline{1}]_{\mathrm{b}} / /[11 \overline{2} 0]_{\text {hex }}$ on the angle of $17.9^{\circ}$. In this case the incident beam direction is $[\overline{3} 24]_{\mathrm{b}}$. The corresponding displacement of the ferrite and $\mathrm{M}_{7} \mathrm{C}_{3}$ carbide reflections is shown in the stereographic projection by arrows. From such an orientation it is rather easy to see that the habit plane is indeed the $(25 \overline{1})_{\mathrm{b}} / /(11 \overline{2} 0)_{\text {hex }}$.

The HRTEM micrograph of Fig. 2 shows the atomic structure of the interphase boundary at the edge of the $\mathrm{M}_{7} \mathrm{C}_{3}$ carbide lamella. The corresponding SAED pattern is shown in Fig. 1(b). The incident beam is $[\overline{1} 13]_{\mathrm{b}} / /[\overline{1} 100]_{\text {hex }}$. In this figure, the ferrite/carbide interface is atomically flat. It can be seen that the $\mathrm{M}_{7} \mathrm{C}_{3}$ carbide habit plane is accurately parallel to the atomic habit plane $(25 \overline{1})_{\mathrm{b}} / /(11 \overline{2} 0)_{\text {hex }}$. The (110) ${ }_{\mathrm{b}}$ ferrite close packed planes are parallel to the $(33 \overline{6} \overline{1})_{\text {hex }}$ planes of the $\mathrm{M}_{7} \mathrm{C}_{3}$ phase and atomic planes are perfectly matched across this interface. Thus, this $\mathrm{M}_{7} \mathrm{C}_{3}$ carbide should maintain good coherency with respect to the matrix.

Figures 3(a) and (b) show the TEM micrographs of a pearlite area in different view directions. Their diffraction patterns and the summarized stereographic analysis are shown through Figs 3(c)-(e). The incident beam direction in Figs 3(a) and (c) is $[\overline{1} 13]_{\mathrm{b}} / /[11 \overline{2} 0]_{\text {hex }}$ whereas Figs 3(b) and (d) were obtained through tilting around the axis $[\overline{2} \overline{5} 1]_{\mathrm{b}} / /[1 \overline{1} 00]_{\text {hex }}$ through an angle of $25.5^{\circ}$. Such a tilting procedure once again allows the habit plane to be unambiguously recognized. The lamellar area in this case is parallel to the atomic habit plane $(\overline{2} \overline{5} 1)_{b} / /(1 \overline{1} 00)_{\text {hex }}$. The orientation relationship between ferrite and $\mathrm{M}_{7} \mathrm{C}_{3}$ carbide lamellae can be expressed as follows:

$$
[\overline{1} 13]_{\mathrm{b}} / /[11 \overline{2} 0]_{\text {hex }}
$$

$$
\begin{aligned}
& (\overline{2} \overline{5} 1)_{\mathrm{b}} / /(1 \overline{1} 00)_{\mathrm{hex}} \\
& (\overline{3} 1 \overline{1})_{\mathrm{b}} \approx / /(0001)_{\mathrm{hex}}
\end{aligned}
$$

This is termed the OR-II. Similar to the OR-I, the angle between $[\overline{5} \overline{5} 1]_{\mathrm{b}}$ and $[1 \overline{1} 00]_{\text {hex }}$ was determined to be $1.5^{\circ}$ but the $[\overline{3} 1 \overline{1}]_{b}$ is deviated from the $[0001]_{\text {hex }}$. These deviations were seen in all of the areas studied at different view directions although this orientation relationship itself obeys well. Note that the OR-II is identical to the orientation relation no. 2 for $\mathrm{M}_{7} \mathrm{C}_{3}$ carbide in ferrite after tempering of alloy steels reported by Dyson and Andrews [33].

Figure 4 is the HRTEM micrograph showing an edge-on view of the atomic structure of the broad face of the $\mathrm{M}_{7} \mathrm{C}_{3}$ carbide lamella. The incident beam direction is $[\overline{1} 13]_{\mathrm{b}} / /[11 \overline{2} 0]_{\text {hex }}$. The corresponding SAED pattern is shown in Fig. 3(b). Note that in the ferrite area, only the $(110)_{\mathrm{b}}$ lattice fringes can be seen. The angle between $(110)_{\mathrm{b}}$ and $(25 \overline{1})_{\mathrm{b}}$ planes in ferrite is $25.35^{\circ}$, thus the $(25 \overline{1})_{b}$ planes are almost parallel to the $(\overline{1} 100)_{\text {hex }}$ planes. It can be seen that the $(110)_{\mathrm{b}}$ planes are almost parallel to the $(5 \overline{5} 01)_{\text {hex }}$ and the atomic planes are perfectly matched across the interface suggesting good coherency, because extra half planes at the broad face of the $\mathrm{M}_{7} \mathrm{C}_{3}$ carbide lamella are not observed.

Figure 5 is a lattice image showing the $(101)_{b}$ planes of ferrite and $(1 \overline{1} 00)_{\text {hex }}$ planes of $\mathrm{M}_{7} \mathrm{C}_{3}$ carbide. This micrograph was taken at the diffraction conditions shown in Fig. 3(d). It can be seen that the ferrite habit plane deviates about $1.5^{\circ}$ from the $(25 \overline{1})_{b} / /(1 \overline{1} 00)_{\text {hex }}$, which is the broad face on the habit plane. Figure 5 also shows that there are steps on both sides of the ferrite/carbide interface aligned in the growth direction of the carbide $[\overline{3} 1 \overline{1}]_{\mathrm{b}} / /[0001]_{\text {hex }}$. The step heights are one to three unit lattice heights of the $\mathrm{M}_{7} \mathrm{C}_{3}$ phase in the $[1100]_{\text {hex }}$ direction. These steps accommodate the divergence of the interface from the atomic habit plane, which is similar to that observed in the ferrite/cementite pearlite [2]. Note that the edge dislocation is visible within $\mathrm{M}_{7} \mathrm{C}_{3}$ carbide lamella. The core region of the extra half $(1 \overline{1} 00)_{\text {hex }}$ plane is shown in this figure by a circle.

Figure 6 is an HRTEM image showing a good atomic matching between the $(0 \overline{1} 1)_{\mathrm{b}}$ close packed planes of ferrite and the $(4 \overline{6} 2 \overline{1})_{\text {hex }}$ planes of the $\mathrm{M}_{7} \mathrm{C}_{3}$ carbide. The angle between them was determined to be about $2.5^{\circ}$.

Two sets of the bright field images and the corresponding SAED patterns in Fig. 7 show two different variants of the OR-II. In Figs 7(a) and (c) the incident beam is close to $[01 \overline{1}]_{\mathrm{b}} / /[11 \overline{2} 1]_{\text {hex }}$. The stereographic projection in Fig. 7(e) shows that the OR-II is fulfilled within a few degrees as follows:

$$
(\overline{5} \overline{1} \overline{2})_{\mathrm{b}} / /(1 \overline{1} 00)_{\text {hex }}
$$



O - $M_{7} C_{3},[11 \overline{2} 0]$ zone axis

- Ferrite, [113] zone axis
- $\mathrm{M}_{7} \mathrm{C}_{3}$
-     - Ferrite $\}$ rotation about $25.5^{\circ}$ round $[1 \overline{1} 00] / /[\overline{25} 1]$

Fig. 3. TEM micrographs and corresponding SAED patterns from the same pearlite area tilted around $[\overline{2} 51]_{\mathrm{b}} / /[1 \overline{1} 00]_{\text {hex }}$ at an angle of $25.5^{\circ}$ : (a) and (c) $Z=[\overline{1} 13]_{\mathrm{b}} / /[11 \overline{2} 0]_{\text {hex }}$; (b) and (d) $Z=[\overline{5} 35]_{\mathrm{b}}$; (e) the $[\overline{1} 13]_{\mathrm{b}} / /[11 \overline{2} 0]_{\text {hex }}$ stereographic projection showing the habit plane and the OR-II between ferrite and $\mathrm{M}_{7} \mathrm{C}_{3}$ carbide .

$$
(\overline{1} 31)_{\mathrm{b}} / /(11 \overline{2} 0)_{\text {hex }}
$$

$$
(11 \overline{3})_{\mathrm{b}} \approx / /(0001)_{\mathrm{hex}}
$$

The $[1,17, \overline{13}]_{b}$ zone axis shows even better correlation between ferrite and carbide reflections for the

OR-II [as shown in Fig. 7(c) by arrows]. It can be easily seen that the habit plane of the carbide lamellae is $(\overline{5} \overline{1} \overline{2})_{\mathrm{b}} / /(1 \overline{1} 00)_{\text {hex }}$. Meanwhile, for the sake of clarity, the following discussion is more convenient to continue using the $[01 \overline{1}]_{\mathrm{b}}$ zone axis. Figures 7(b) and (d) are the bright field micrograph and the cor-


Fig. 4. HRTEM image of the edge of the $\mathrm{M}_{7} \mathrm{C}_{3}$ carbide lamella. The incident beam direction is $[113]_{\mathrm{b}} / /[1120]_{\mathrm{b}}$. The habit plane is close to $(25 \overline{1})_{\mathrm{b}} / /(\overline{\mathrm{I}} 100)_{\mathrm{b}}$.

$$
\begin{aligned}
& (5 \overline{1} \overline{2})_{\mathrm{b}} / /(\overline{1} 100)_{\text {hex }} \\
& (131)_{\mathrm{b}} / /(11 \overline{2} 0)_{\text {hex }} \\
& (\overline{1} 1 \overline{3})_{\mathrm{b}} \approx / /(0001)_{\mathrm{hex}}
\end{aligned}
$$

Figure 8 is just one more example of the OR-II:

$$
\begin{aligned}
& (2 \overline{1} \overline{5})_{\mathrm{b}} / /(\overline{1} 100)_{\text {hex }} \text { habit plane } \\
& (311)_{\mathrm{b}} / /(\overline{1} \overline{1} 20)_{\text {hex }} \\
& (1 \overline{3} 1)_{\mathrm{b}} \approx / /(0001)_{\text {hex }}
\end{aligned}
$$

Finally, it should be mentioned that the two orientation relationships reported above were observed in 15 different pearlite colonies. The ORII was observed somewhat more often than OR-I and no other orientation relationships were observed.

## 4. DISCUSSION

### 4.1. Ferrite/carbide crystallography

In the present study, the crystallography and atomic structure of the interfaces in the ferrite/ $\mathrm{M}_{7} \mathrm{C}_{3}$ pearlite was studied. The experimental observations described above can be summarized as follows.


Fig. 5. TEM micrograph showing the $(101)_{b}$ ferrite and $(1 \overline{1} 00)_{b} M_{7} C_{3}$ carbide lattice image. The incident beam direction is close to [535] . The habit plane deviates by $1.5^{\circ}$ from the $(251)_{\mathrm{b}} / /(1100)_{\mathrm{b}}$. The growth steps are shown by arrows. The core region of the edge dislocation is shown by a circle.


Fig. 6. (a) HRTEM image of the ferrite/carbide interface and (b) the corresponding SAED pattern. The incident beam direction is close to $[\overline{7} 11]_{\mathrm{b}}$.

1. The ferrite $/ M_{7} C_{3}$ pearlite mainly grows along $[311]_{b} / /[0001]_{\text {hex }}$.
2. Two specific orientation relationships were found to exist between the $\mathrm{M}_{7} \mathrm{C}_{3}$ carbide lamellae and the ferritic matrix.
3. Each of these orientation relationships has a unique habit plane:

OR-I:
$(\overline{2} \overline{5} 1)_{\mathrm{b}} / /(11 \overline{2} 0)_{\text {hex }}$ habit plane

$$
(\overline{1} 13)_{\mathrm{b}} / /(\overline{\mathrm{l}} 100)_{\mathrm{hex}}
$$

$$
(\overline{3} 1 \overline{1})_{\mathrm{b}} \approx / /(0001)_{\mathrm{hex}}
$$

$$
\begin{gathered}
(\overline{2} \overline{5} 1)_{\mathrm{b}} / /(1 \overline{1} 00)_{\text {hex }} \text { habit plane } \\
(\overline{1} 13)_{\mathrm{b}} / /(11 \overline{2} 0)_{\text {hex }} \\
(\overline{3} 1 \overline{1})_{\mathrm{b}} \approx / /(0001)_{\text {hex }}
\end{gathered}
$$

Since the angle between $(311)_{\mathrm{b}}$ and $(521)_{\mathrm{b}}$ is $7.75^{\circ}$, both orientation relationships are very close but the habit planes are quite different. Because of the hexagonal symmetry of the $\mathrm{M}_{7} \mathrm{C}_{3}$ phase, its three $\{10 \overline{1} 0\}_{\text {hex }}$ planes and three $\{2 \overline{1} \overline{1} 0\}_{\text {hex }}$ planes are equivalent. Thus the OR-I and OR-II can be obtained by a rotation of $30^{\circ}$ about their common axis $[\overline{3} 1 \overline{1}]_{\mathrm{b}} \approx / /[0001]_{\text {hex }}$ to one another.
4. In the case of the OR-II, the habit plane was observed to deviate about $1.5^{\circ}$ from the $(\overline{2} \overline{5} 1)_{\mathrm{b}} / /(1 \overline{1} 00)_{\text {hex }}$.
5. The ferrite close packed planes were observed to be within a few degrees of the $(\overline{5} 051)_{\text {hex }}$, $(33 \overline{6} 1)_{\text {hex }}$ or $(24 \overline{6} 1)_{\text {hex }}$ planes of the $\mathrm{M}_{7} \mathrm{C}_{3}$ carbide lamella. Across the interface, these planes are matched with perfect coherency, since the interface does not contain extra half planes.
6. The growth of the pearlite is accompanied by steps at the broad face of the $\mathbf{M}_{7} \mathbf{C}_{3}$ carbide lamella.
For further consideration of the orientation relationships between ferrite and $\mathrm{M}_{7} \mathrm{C}_{3}$ carbide, it is useful to express the structure of the $\mathrm{M}_{7} \mathrm{C}_{3}$ phase as orthorhombic. Using the convention $a=0.4532 \mathrm{~nm}, b=0.7015 \mathrm{~nm}$ and $c=1.2153 \mathrm{~nm}$ reported by Fruchart and Rouault [34], the OR-I and OR-II can be expressed as follows:

OR-I:

$$
(\overline{2} \overline{5} 1)_{\mathrm{b}} / /(010)_{\text {orth }} \text { habit plane }
$$

$$
(\overline{1} 13)_{\mathrm{b}} / /(001)_{\text {orth }}
$$

$$
(\overline{3} 1 \overline{1})_{\mathrm{b}} \approx / /(100)_{\mathrm{orth}}
$$

## OR-II

$(\overline{2} \overline{5} 1)_{\mathrm{b}} / /(001)_{\text {orth }}$ habit plane

$$
(\overline{1} 13)_{\mathrm{b}} / /(010)_{\text {orth }}
$$

$$
(\overline{3} 1 \overline{1})_{\mathrm{b}} \approx / /(100)_{\mathrm{orth}}
$$

It is important to emphasize that the OR-II is similar to the Pitsch-Petch orientation relationship, which was often observed between ferrite and cementite in pearlite. For the sake of completeness,


Fig. 7. TEM micrographs and corresponding SAED patterns from the different pearlite colonies showing the two variants of the OR-II. The incident beam direction is $[01 \overline{1}]_{\mathrm{b}} / /[11 \overline{2} 1]_{\text {hex }}$. (e) The $[01 \overline{1}]_{\mathrm{b}} / /[11 \overline{2} 1]_{\text {hex }}$ stereographic projection. Arrows show the displacements of the ferrite reflections by tilting from the $[01 \overline{1}]_{\mathrm{b}}$ to the $[1,17, \overline{13}]_{\text {hex }}$ zone axis.
it should be noted that the structure of cementite can also be described as hexagonal $[35,36]$.

It has been shown by Ohmori et al. [22] and confirmed by Zhou and Shiflet [9] that the Pitsch-Petch orientation relationship yields low interface energy. Minimization of interface energy is considered as
one of the most important factors of the fixed orientation relationship and a one-to-one correspondence between the habit plane and orientation relationship. Minimization of strain energy at the interface requires good atomic matching. Zhang and Kelly $[11,37,38]$ suggested that the orientation


Fig. 8. (a) TEM micrograph and (b) the corresponding SAED pattern in the case of the OR-II. The incident beam direction is close to $[\overline{3} 4 \overline{2}]_{\mathrm{b}}$. The habit plane is $(\overline{2} 51)_{\mathrm{b}} / /(\overline{1} 100)_{\text {hex }}$.
relationships should be expressed in terms of the close packed planes that show good atomic matching.

Figure 9 represents the stereographic projection on which the common axis for the OR-I and OR-II parallel to the growth direction of the pearlite lamellae is fixed. The OR-I or OR-II can simultaneously be expressed as follows:

OR-I:

$$
\begin{aligned}
& (5 \overline{1} 2)_{\mathrm{b}} / /(11 \overline{2} 0)_{\text {hex }} \\
& (\overline{1} \overline{3} 1)_{\mathrm{b}} / /(1 \overline{1} 00)_{\text {hex }}
\end{aligned}
$$



Fig. 9. The $[\overline{1} 13]_{b} / /[0001]_{\text {hex }}$ stereographic projection showing small misorientations between the $\{011\}_{\mathrm{b}}$ ferrite planes and the coincident planes of the $\mathrm{M}_{7} \mathrm{C}_{3}$ phase in the case of the OR-I and OR-II. The $d$ spacing of the matching planes are given in Table 2.

$$
[\overline{1} 13]_{\mathrm{b}} \approx / /[0001]_{\mathrm{hex}}
$$

OR-II

$$
\begin{aligned}
& (25 \overline{1})_{\mathrm{b}} / /(\overline{1} 100)_{\mathrm{hex}} \\
& (\overline{3} 1 \overline{1})_{\mathrm{b}} / /(\overline{1} \overline{1} 20)_{\mathrm{hex}} \\
& {[\overline{1} 13]_{\mathrm{b}} \approx / /[0001]_{\mathrm{hex}}}
\end{aligned}
$$

The stereographic projection also shows that each of the $\{110\}_{b}$ close packed planes of ferrite is within a few degrees of one of the following planes in the $\mathrm{M}_{7} \mathrm{C}_{3}$ phase: $\{1 \overline{3} 22\}_{\text {hex }},\{\overline{5} 051\}_{\text {hex }},\{33 \overline{6} 1\}_{\text {hex }}$, $\{6 \overline{2} \overline{4} 1\}_{\text {hex }}, \quad\{7 \overline{3} \overline{4} 0\}_{\text {hex }}$ or $\{10 \overline{1} 0\}_{\text {hex }}$. Each of these planes has the $d$ spacing close to that of the $(110)_{\mathrm{b}}$ ferrite. The lattice misfits between these planes of the $\mathrm{M}_{7} \mathrm{C}_{3}$ phase and the $(110)_{b}$ planes of ferrite are summarized in Table 2. It can be seen that even the largest misfit does not exceed $5 \%$ whereas it is less than $2 \%$ in most cases.

Table 2. Lattice misfit between ferrite and $\mathrm{M}_{7} \mathrm{C}_{3}$ carbide planes

| $(h k l)_{\mathrm{h}}$ | Lattice spacing, $d_{1}(\mathrm{~nm})$ | $(h l k)_{\mathrm{b}}$ | Lattice spacing, $d_{2}(\mathrm{~nm})$ | $\left(d_{1}-d_{2}\right) / d_{1}$ |
| :--- | :---: | :---: | :---: | :---: |
| $\{50 \overline{5} 1\}$ | 0.213 |  |  | 0.047 |
| $\{6 \overline{3} 1\}$ | 0.207 |  | 0.203 | 0.019 |
| $\{6 \overline{2} 11\}$ | 0.204 | $\{011\}$ | 0.05 |  |
| $\{3 \overline{1} 22\}$ | 0.202 |  | -0.005 |  |
| $\{7 \overline{3} 40\}$ | 0.199 | -0.020 |  |  |
| $\{10 \overline{1} 0\}$ | $1.211 / 6=0.202$ |  | -0.0005 |  |



Fig. 10. The $[\overline{3} 1 \overline{1}]_{\mathrm{b}} / /[\overline{1} 2 \overline{1}]_{\mathrm{f}} / /[0001]_{\text {hex }}$ stereographic projection showing the orientation relationship between the austenite and $\mathrm{M}_{7} \mathrm{C}_{3}$ carbide in the case of the OR-I and ORII if the Kurdjumov-Sachs orientation relationship between the ferrite and austenite is assumed.

The $30^{\circ}$ rotation around the common axis $[\overline{1} 13]_{\mathrm{b}} \approx / /[0001]_{\text {hex }}$ yields 12 variants of either orientation relationship. Note that only in half of them is the $\{2 \overline{1} 10\}_{\text {hex }}$ close packed plane of the hexagonal $\mathrm{M}_{7} \mathrm{C}_{3}$ phase less than $2.5^{\circ}$ to the close packed plane $(110)_{b}$ of ferrite as shown in Fig. 9. It is obvious from the experimental observations [compare Fig. 1(e) with Fig. 3(e) and Fig. 7(e)] that the last condition is not strictly fulfilled.

### 4.2. Austenite/pearlite crystallography

The orientation relationships between the austenite and the two constituents of pearlite are also very important to observe the crystallography of the pearlite reaction as a whole. In the classical theory of pearlite growth developed by Smith [39] and Hillert [16], it is implicitly assumed that either of the pearlite constituents has no reproducible orientation relationship with the austenite grain it was growing into and the austenite/pearlite interface is disordered. This conclusion was supported by a number of other studies [40,41]. Meanwhile, it has been shown by Shackleton and Kelly [42] that if the Pitsch austenite-cementite relationship and the Kurdjumov-Sachs austenite-ferrite relationship are assumed then the ferrite and cementite can be related by the Bagaryatskii orientation relationship within a few degrees.

Figure 10 represents the stereographic projection when the zone axis is $[\overline{3} 1 \overline{1}]_{\mathrm{b}} / /[\overline{1} 2 \overline{1}]_{\mathrm{f}} / /[0001]_{\text {hex }}$. The OR-II found in the present study is shown by dashed lines. If we assume that the ferritic pearlite
and austenite are related by the Kurdjumov-Sachs relationship (as shown by dotted lines), the following orientation relationship between the austenite and $\mathrm{M}_{7} \mathrm{C}_{3}$ carbide can be deduced (shown by solid lines):

$$
\begin{aligned}
& (111)_{\mathrm{f}} / /(01 \overline{1} 0)_{\text {hex }} \\
& (\overline{1} 01)_{\mathrm{f}} / /(2 \overline{1} 10)_{\text {hex }} \\
& (\overline{1} 2 \overline{1})_{\mathrm{f}} / /(0001)_{\text {hex }}
\end{aligned}
$$

If the orthorhombic symmetry of the $\mathrm{M}_{7} \mathrm{C}_{3}$ phase is adopted this orientation relationship can be rewritten as follows:

$$
\begin{aligned}
& (111)_{\mathrm{f}} / /(001)_{\text {orth }} \\
& (\overline{1} 01)_{\mathrm{f}} /\left((010)_{\text {orth }}\right. \\
& (\overline{1} 2 \overline{1})_{\mathrm{f}} / /(100)_{\text {orth }}
\end{aligned}
$$

This orientation relationship is quite similar to the Pitsch orientation relationship in the case of cementite precipitation in austenite. Although this orientation relationship should experimentally be confirmed, it should be noted that, if this is true, the austenite/pearlite interface becomes coherent. The recent results by Hackney and Shiflet [10] also indicated the partial coherency at the austenite/ferrite/cementite interfaces despite the fact that rational orientation relationships are seldom confirmed.

## 5. SUMMARY

The crystallography and ferrite/carbide interface structure of pearlite with $\mathrm{M}_{7} \mathrm{C}_{3}$ carbide lamellae have been investigated by means of TEM using an SAED pattern technique. The following results were obtained.

1. In pearlite, two orientation relationships between ferrite and the $\mathrm{M}_{7} \mathrm{C}_{3}$ phase have been determined. Each of them has a unique atomic habit plane:

OR-I:
$(\overline{2} \overline{5} 1)_{b} / /(11 \overline{2} 0)_{\text {hex }}$ habit plane

$$
(\overline{1} 13)_{\mathrm{b}} / /(\overline{1} 100)_{\text {hex }}
$$

$$
(\overline{3} 1 \overline{1})_{\mathrm{b}} \approx / /(0001)_{\mathrm{hex}}
$$

OR-II:

$(\overline{2} \overline{5} 1)_{\mathrm{b}} / /(1 \overline{1} 00)_{\text {hex }}$ habit plane<br>$(\overline{1} 13)_{b} / /(11 \overline{2} 0)_{\text {hex }}$

$$
(\overline{3} 1 \overline{1})_{\mathrm{b}} \approx / /(0001)_{\mathrm{hex}}
$$

2. In the case of the OR-II, the habit plane of an $\mathrm{M}_{7} \mathrm{C}_{3}$ carbide lamella deviates by $1.5^{\circ}$ from the $(\overline{2} \overline{5} 1)_{\mathrm{b}} / /(1 \overline{1} 00)_{\text {hex }}$.
3. The misorientation between the close packed planes of ferrite and the coincident planes of $\mathrm{M}_{7} \mathrm{C}_{3}$ carbide is always within a few degrees and atomic planes are perfectly matched through the interface. This implies that both pearlite constituents form coherently to one another.

Acknowledgements-D. Shtansky acknowledges the support of the Japan Society for the Promotion of Science during this work. This research was supported by the Grant-in-Aid of The Ministry of Education, Science, Culture and Sports. Thanks are also due to Sumitomo Metal Industries for supplying the materials used in the present study.

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